Humana Practitioner Assessment Form (PAF)

What is the Humana PAF?

The Humana Practitioner Assessment Form (PAF) is intended to guide a comprehensive health assessment. The form consists of elements from the Annual Wellness Visit (AWV), a physical exam and Healthcare Effectiveness Data and Information Set (HEDIS®) measures. The form can be used by physicians and other healthcare providers to help document vital information for Humana Medicare Advantage-covered patients during an annual face-to-face examination or telehealth visit. The Humana PAF is a stand-alone medical record, which should include supporting documentation for all services billed in order to capture the true health status of the patient.

Why should I complete the PAF?

The PAF serves as a concise template that helps to ensure all the elements of a comprehensive health and quality assessment are documented, while assisting in HEDIS measurement closure.

Completion of the form enhances complete and accurate medical record documentation, which allows diagnosis coding to the highest level of specificity and identifies opportunities to positively impact patient care with HEDIS and Star Ratings measures. It will help improve coordination of care and help patients access applicable Humana care management programs.

How do I complete the PAF?

- Ensure you are using the most updated PAF. The current version of the PAF can be found at Humana's website, humana.com/provider/support/clinical/quality-resources/, or on the Availity portal Humana "Resources" tab at https://apps.availity.com/availity/web/public.elegant.login.
- Contact your assigned Humana-covered patient to schedule him or her for an appointment.
- Complete the Humana PAF during a face-to-face encounter or telehealth visit between a patient and a licensed medical doctor (M.D.), doctor of osteopathy medicine (D.O.), physician assistant (PA) or nurse practitioner (NP).
- Examine, evaluate and treat the patient as you normally would, being sure to assess all of his or her chronic health conditions, if any, as well as any acute conditions that may be present.
- Ensure that the assessment form is completed in its entirety and signed by the rendering healthcare provider.
- Place the completed assessment form in the patient's medical record.
- Submit the completed assessment form to Humana by one of the two available options. (See last page for instructions.)

Should I submit a claim?

A claim must be submitted with Current Procedural Terminology (CPT®) code 96160 along with the appropriate office visit, evaluation and management code (E/M) or Annual Wellness Visit code indicating a face-to-face visit occurred. (See last page for instructions).

If you have additional guestions, please contact your Humana market representative.



Humana Practitioner Assessment Form

Patient name:		_ Date of service:	//
Humana member ID:	Date of birth:/	/ Gender: _	☐ Male ☐ Female
Race/ethnicity: Hispanic/Latino America	an Indian Alaska Native	Black/African American	n □ African
☐ Asian ☐ Asian Indian ☐ Native Hawaiian	☐ Other Pacific Islander ☐ Wh	nite/Caucasian Othe	r
Medical history – If marked as "active," please also	document condition in final diagr	nosis list.	
Diagnosis	Description/remarks		Active/resolved
Providers regularly involved with care specialists	s/suppliers		
Surgical history			
Procedure	Reason for procedure	Date	Surgeon or facility
Current medications – Please include over-the-coun	ter medications.		
Name of medication	Dose/frequency	Conditions being	treated

Patient name: Humana member ID:					Date of servi	ce:	_//					
						Date of birth:/			_/			
Medication recor	nciliatio	n post d	ischarge	Has the	patient b	een	hospitalized in t	he last yea	ar? If patient h	as had m	ore tha	n one
discharge, includ	e all dis	charges	on or bet	ween Jan	uary 1 ar	nd De	ecember 1 of the	measurer	nent year.			
Yes I If yes, is there documentation that the physician					ician	Date of review:						
reconciled the current and discharge medications within 30 days after discharge?				itnin 30	Physician:							
						(Pre	scribing physiciar	n, clinical p	harmacist or re	egistered r	nurse)	
No □ If no, move to next section Hospital die					spital discharge d	al discharge date:/						
Statin therapy	Please f	ill in all a	appropria	te dates	for thera	py re	eceived; only ON	E is neede	d to meet HEDI	S measur	e.	
Yes Dispense	d or fille	d statin	medicatio	on in curre	ent year	Sta	tin Rx name:					
Statin therapy int	tensity (circle on	e)			Rx	dose:					
High intensity				:y								
No □ If checked	d, move	to next s	section				luded due to pat miphene/_		dispensed at le	ast one pr	escripti	on for
							,,					
Medical allergies	S											
Social history			Rema	arke			Social history		Rema	arke		
Alcohol/drug use			IXCIIIC	11113			Sexual history		Keme	CALIC		
Tobacco use							High-risk lifestyle					
Tobacco use							Tigit tisk illestyle					
Diet				au u			Physical activity					
Family history	Father	Mother	Children	Siblings	Grandpa	rents	Vitals					
Cancer							Height:	— fee	et inches	Weight:	r	oounds
Diabetes							Heart rate:	166	Blood pres	curo:	,	
Heart disease												
Hypertension							Body mass index	(BIVII):	BMI not co due to preg		□Y □N	1
Physical examinat	tion											
,	With normal I		normal	Fin	dings			Within	ts Abnormal	Find	lings	
General appearance					unigs		Musculoskeletal			Tillo		
Head, eyes, ears,							Skin					
nose and throat (HEENT)												
Cardiovascular							Neurological					
Respiratory							Genitourinary					
Gastrointestinal							Other					
Hematologic/ lymphatic/immune												
Additional comments	S:											

Patient name:	Date	e of service:/_	/				
Humana member ID:	Date	e of birth:/_	/				
Cognitive impairment							
 Ask patient to remember the following three words, and ask the patient to BANANA SUNRISE CHAIR Ask patient to draw a clock. After numbers are on the face, ask patient to draw a clock. Ask the patient to repeat the three words given previously. 		ter 8 (or 10 minutes after	· 11).				
Scoring instructions for recalled words and clock drawing test (CDT)	Results (circle one)					
3 recalled words or 1-2 recalled words + normal CDT Negative for cognitive im	pairment Patient is negative/ p	oositive for cognitive im	pairment				
1-2 recalled words + abnormal CDT or 0 recalled words Positive for cognitive imp	airment Additional comments	s:					
Alternate screening tool used:		:					
Cancer screening – Please fill in all appropriate dates for screening reeach section.	eceived; only ONE is needed	to meet HEDIS measu	ires under				
Colorectal cancer screening							
Colonoscopy performed in current measurement year or nine previous measurement	rement years	/	_				
CT colonography performed in current measurement year or four previous ye	ars	/	_				
Flexible sigmoidoscopy performed in current measurement year or four previ	ous measurement years	/	_				
Fecal immunochemical test (FIT) DNA test (Cologuard $^{\circ}$) performed in current two previous measurement years	measurement year or	//	_				
Fecal occult blood test (FOBT) performed in current measurement year		/	_				
Excluded due to total colectomy	Excluded due to total colectomy/						
Excluded due to diagnosis of colorectal cancer		/					
Breast cancer screening							
Screening not applicable If checked, move to next section							
Mammography performed 27 months prior to Dec. 31 of the current measure	ement year	//					
Excluded due to bilateral mastectomy		//					
Excluded due to two unilateral mastectomies with service dates 14 days or m	ore apart/_	/ and/_	/				
Excluded due to unilateral mastectomy with bilateral modifier		//					
Excluded due to unilateral mastectomy code with right side modifier and a unwith a left side modifier on the same or different date of service	nilateral mastectomy	/					
Disease-specific management – Please fill in all appropriate dates fo	r screening received; only Of	NE is needed to meet	HEDIS				
measures under each section.							
Diabetic nephropathy							
Screening not applicable							
Nephropathy screening: micro- or macroalbumin test during calendar year	//	Result:					
Is patient taking angiotensin-converting enzyme (ACE) inhibitor or angiotensin receptor blocker (ARB) during calendar year?	☐Yes ☐No Dispense/fill date//	Medication					
Nephrologist visit during calendar year: ☐Yes/ ☐No	Renal transplant?	□Yes//					

			Date of service:	//
Humana member ID:				//
Disease-specific management – I HEDIS® measures under each see		ppropriate	dates for screening received below; only ONE is need	ed to meet
Diabetic eye care			Name of eye ca	are professional
Screening not applicable If	checked, move to	next section		
Retinal or dilated eye exam by an eye	e care professional	during curre	ent measurement year/	/
Negative retinal or dilated eye exam measurement year or prior year	(negative for retino	opathy) by ar	n eye care professional during/_	/
Excluded due to diagnosis of gestation	nal diabetes during	g past two ca	alendar years/	/
Excluded due to diagnosis of steroid-	induced diabetes c	during past tv	wo calendar years/	/
Excluded due to diagnosis of polycys	tic ovarian syndron	ne during pas	st two calendar years/	/
Diabetic foot exam				
Screening not applicable $\ \square$ If checked, move to next section	Within normal limits	Abnormal	Circle abnormal finding and add comment	Comments
Skin changes			Atrophy, infection, ulceration(s), deformity, calluses prior amputation	
Neurologic exam			Ankle reflexes, pinprick, vibration, monofilament	
Vascular exam			Dorsalis pedis pulse, posterior tibial pulse, anklebrachial index (ABI)	
Immunizations				
☐ Influenza virus vaccine — annu	ally/			
Pneumococcal vaccine — two recom	nmended in lifetime	e 🗆 PCV	13/ PPSV23//	
Labs/pathology				
Lab not applicable	hecked, move to n	ext section	Tes	st result
HbA1c for patients with diabetes			/	
Excluded due to diagnosis of gestation	nal diabetes in pas	t two calend	lar years//	
Excluded due to diagnosis of steroid-	induced diabetes in	n past two ca	alendar years//	
Excluded due to diagnosis of polycys	tic ovarian syndron	ne during pas	st two calendar years//	
Rheumatoid arthritis (RA) mana	gement			
Diagnosis for RA not verified	☐ If checked, mo	ve to next se	ection	
Dispensed or filled disease-modifying current measurement year	g antirheumatic dr	ug (DMARD)	during//	
Medication name:	Admini	stration rout	te: Dosage:	
Excluded due to pregnancy during ca	lendar year		//	
Excluded due to diagnosis of human	immunodeficiency	virus (HIV) p	/	

Patient name:	Date of service://
Humana member ID:	Date of birth:/
Disease-specific management – Please fill in all appropriate date measures under each section.	es for screening received; only ONE is needed to meet HEDIS
Osteoporosis management in women who had a fracture	
Screening not applicable If checked, move to next section	
Osteoporosis medication was dispensed or filled within six months after	the fracture/
Medication name: Administration route:	Dosage:
Bone mineral density test completed within six months after the fracture	/
Excluded due to bone mineral density testing within 24 months prior to	fracture/
Excluded due to patient receiving osteoporosis prescription within 12 me	onths prior to fracture/
Excluded because fracture was a finger, toe, face or skull	//
Screening assessments	
Pain screening Please circle the level of pain patient is in on a	daily basis.
◎ 012345	5678910 ⊗
	derate pain Extreme pain
•	y prescribed pain medication - document in medication list on page 1
	y presented pain incarcation abcument in incarcation is on page 1
Functional status assessment	
 Assessment of instrumental activities of daily living (IADLs), such as preparation, shopping for groceries, using public transportation, how work, home repair, laundry, taking medications or handling finances 	use- transferring, using toilet, walking
☐ Results using a standardized functional status assessment tool	Name of tool:
Other assessments	
☐ Physical activity assessment ☐ Advance directive (Living will Yes	i/No) Aspirin use discussion Fall risk assessment
Depression regarding tests	Score:
☐ Depression screening test:	
Medication review for potentially harmful drug-disease interaction	s in the elderly, such as:
Diagnosis – Please provide the appropriate active diagnoses and	d corresponding codes.
Diagnosis ICD 10 code	Circle treatment plan
	edication/monitor/diet/labs/referrals/other

Humana member ID: Diagnosis (continued) Diagnosis						
			Date of birth:	//		
Diagnosis						
	ICD 10 code	Circ	le treatment plan			
		Medication/monitor/diet/lab	s/referrals/other			
l		Medication/monitor/diet/lab	s/referrals/other			
·		Medication/monitor/diet/lab	s/referrals/other			
·		Medication/monitor/diet/lab	s/referrals/other			
		Medication/monitor/diet/labs/referrals/other				
·		Medication/monitor/diet/labs/referrals/other				
		Medication/monitor/diet/lab	s/referrals/other			
j		Medication/monitor/diet/lab	s/referrals/other			
7		_ Medication/monitor/diet/lab	s/referrals/other			
3.		Medication/monitor/diet/lab	s/referrals/other			
Assessment statement: Healthcare provider acknowledges and section of Humana's website: humana Medicare payment to Medicare Advan by virtue of his or her signature on this may be subject to a fine, imprisonment By signing this document, you attest to best of your medical knowledge, havir of service of all completed fields is comment and attach the image to the elect To the best of my knowledge, information	tage organizations is based, in part, o medical record. Anyone who misrep tor civil penalty under applicable federal based the patient in a face g placed the completed original of the trained in the patient's medical record tronic record.)	ty-resources/. n each patient's diagnoses, as attest resents, falsifies or conceals essention eral laws. e-to-face visit and reviewed the medis form in the patient's medical record. (Note: If the practice has an elect	ed to by the patient's attendin Il information required for pay dical documents to complete t ord and having ensured fully d conic medical record system, s	ng healthcare provid yment of federal fun the form using the ocumented proof		
Healthcare provider acknowledges and section of Humana's website: humana Medicare payment to Medicare Advan by virtue of his or her signature on this may be subject to a fine, imprisonmen. By signing this document, you attest to best of your medical knowledge, havir of service of all completed fields is comment and attach the image to the elections section of the service of the election of the service of the election of the service of all completed fields is comment and attach the image to the election of the service of all completed fields is comment and attach the image to the election of the service of all completed fields is comment and attach the image to the elections.	tage organizations is based, in part, or medical record. Anyone who misrept or civil penalty under applicable federal placed the completed original of the trained in the patient's medical record tronic record.)	ty-resources/. n each patient's diagnoses, as attest resents, falsifies or conceals essention eral laws. e-to-face visit and reviewed the medis form in the patient's medical record. (Note: If the practice has an elect	ed to by the patient's attendin Il information required for pay dical documents to complete to ord and having ensured fully d ronic medical record system, s	ng healthcare provid yment of federal fun the form using the ocumented proof		
Healthcare provider acknowledges and section of Humana's website: humana Medicare payment to Medicare Advan by virtue of his or her signature on this may be subject to a fine, imprisonment By signing this document, you attest to best of your medical knowledge, havin of service of all completed fields is comment and attach the image to the electro the best of my knowledge, information the best of my knowledge, information the best of my knowledge information.	tage organizations is based, in part, or medical record. Anyone who misrept or civil penalty under applicable federal placed the completed original of the trained in the patient's medical record tronic record.)	ty-resources/. n each patient's diagnoses, as attest resents, falsifies or conceals essential laws. e-to-face visit and reviewed the medis form in the patient's medical record. (Note: If the practice has an elect ded regarding diagnoses is truthful a	ed to by the patient's attendin Il information required for pay dical documents to complete to ord and having ensured fully d ronic medical record system, s	ng healthcare provid yment of federal fun the form using the ocumented proof scan the assess-		
Healthcare provider acknowledges and section of Humana's website: humana Medicare payment to Medicare Advan by virtue of his or her signature on this may be subject to a fine, imprisonment By signing this document, you attest to best of your medical knowledge, havin of service of all completed fields is comment and attach the image to the elect.	tage organizations is based, in part, or medical record. Anyone who misrept or civil penalty under applicable federal placed the completed original of the trained in the patient's medical record tronic record.)	ty-resources/. n each patient's diagnoses, as attest resents, falsifies or conceals essential laws. e-to-face visit and reviewed the medis form in the patient's medical record. (Note: If the practice has an elect ded regarding diagnoses is truthful a	ed to by the patient's attendin Il information required for pay dical documents to complete to ord and having ensured fully d ronic medical record system, s	ng healthcare provid yment of federal fun the form using the ocumented proof scan the assess-		

City, state, ZIP:



How to submit the Practitioner Assessment Form (PAF)

Method 1: Upload

Upload completed PAF records directly to Humana using the fast and secure provider portal with the following steps:

- 1. Go to www.submitrecords.com/humana and enter the secure password hfpaf83.
- 2. Click the "Add files" button and choose the medical records from your internet browser.
- 3. Upload single records in either PDF or TIF format. You can batch upload multiple records in a ZIP file in the same file formats.
- 4. Add any information regarding the record(s) into the notes section. You can add records to a maximum of 100MB of space per upload.
- 5. Click "Upload" and the selected medical records will be electronically routed to the Humana repository system.
- 6. Log confirmation numbers upon upload and use for internal tracking and reconciliation.

For technical assistance with the provider upload portal, please call 1-801-984-4540. Records will be stored in the secure Humana repository system. The website www.submitrecords.com/humana has been verified by Entrust®, an identity-based security software provider. All transactions are protected by 128-bit secure sockets layer (SSL).

Method 2: Fax

If you do not have online capabilities, you may fax medical records and/or completed forms to Humana medical record retrieval at 1-888-838-2236. Please use a cover page and ensure that page does not contain any personal health information. Log confirmation receipt upon fax submission and use for internal tracking and reconciliation.

How to submit the practitioner assessment form claim

- A claim must be submitted with Current Procedural Terminology (CPT®) code 96160 along with the appropriate office visit, evaluation and management code (E/M) or Annual Wellness Visit code indicating a face-to-face visit occurred.
- During the Coronavirus crisis, Humana is temporarily permitting PAF visits to be conducted via telehealth. PAFs performed via
 telehealth must be conducted using a real-time interactive audio and video telecommunications system. To indicate that a
 PAF telehealth visit was performed using both real-time interactive audio and video telecommunications system, CPT® code
 96160 should be billed in conjunction with one of the PAF program approved evaluation and management (E/M) codes with
 the modifier 95 appended and the place of service (POS) code that would have been reported had the service been furnished
 in person.
- CPT® category ll or supplemental tracking codes used for performance measurement can be included on the 96160 claim (See Appendix 1)
- Include supporting documentation within the PAF for all services billed.
- Please adhere to all correct coding guidelines when applying a modifier.

The table below identifies E/M codes that correlate with elements of a Humana PAF visit.

CPT® code	Description
G0402	Welcome to Medicare visit – Initial preventive physical examination (IPPE)
G0438 and G0439	Annual Wellness Visit (AWV) – Initial and subsequent
99203 – 99205	Office or other outpatient visit for the evaluation and management of a new patient requiring these three components: history, examination and medical decision making
99213 – 99215	Office or other outpatient visit for the evaluation and management of an established patient requiring at least two of these three components: history, examination and medical decision making
99243 – 99245	Office consultation for a new or established patient, requiring these three key components: history, examination and medical decision making
99253 - 99255	New or established inpatient consultation
99326 - 99328	Domiciliary or rest-home visit for the evaluation and management of a new patient
99336 and 99337	Domiciliary or rest-home visit for the evaluation and management of an established patient
99343 - 99345	Home visit for the evaluation and management of a new patient
99348 - 99350	Home visit for the evaluation and management of an established patient
99385 - 99387	Initial comprehensive preventive medicine evaluation – new patient
99395 - 99397	Periodic comprehensive preventive medicine evaluation – established patient

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Appendix 1

Humana Practitioner Assessment Form CPT® Category II codes

The following chart shows which measures are tracked and which codes may be used for each measure. For a complete list of CPT Category II codes, American Medical Association (AMA) members can go to the AMA website at https://www.ama-assn.org/system/files/2020-01/cpt-category2-codes-long-descriptors.pdf

*CPT Category II codes with an asterisk will not close the care opportunity because they're not included in the National Committee for Quality Assurance (NCQA) HEDIS® technical specifications. However, please use CPT Category II codes because they provide valuable quality information when submitted. It is anticipated that the use of Category II codes for performance measurement will decrease the need for record abstraction and chart review.

The use of these codes is optional. The codes are not required for correct coding and may not be used as a substitute for Category I codes.

Measure	Code descriptor	CPT® Category II codes
Adult BMI assessment	Body mass index (BMI) documented	3008F*
Annual flu vaccine Breast cancer screening Blood pressure control	Influenza immunization ordered or administered Influenza immunization administered or previously received Screening mammography results documented and reviewed Most recent systolic blood pressure < 130 mmHg Most recent systolic blood pressure 130-139 mmHg Most recent systolic blood pressure > or equal to 140 mmHg Most recent diastolic blood pressure < 80 mmHg Most recent diastolic blood pressure 80-89 mmHg	4037F* 4274F* 3014F* 3074F 3075F 3077F 3078F 3079F
Care for older adults	Most recent diastolic blood pressure > or equal to 90 mmHg Advanced care planning discussed and documented – Advance care plan or surrogate decision-maker documented in medical record Advanced care planning discussed and documented in medical record – Patient didn't wish to or was unable to provide an advance care plan or name a surrogate	3080F 1123F 1124F
	decision-maker Pain assessment – Pain present and severity quantified Pain assessment – No pain documented Medication list documented Functional status assessed	1125F 1126F 1159F 1170F
Colorectal cancer screenin	Colorectal cancer screening results documented and reviewed	3017F
Comprehensive diabetes care	Dilated retinal exam with eye care professional, with evidence of retinopathy	2022F
	Dilated retinal exam with eye care professional, without evidence of retinopathy	2023F
	7 standard field stereoscopic retinal photos with interpretation documented and reviewed, with evidence of retinopathy	2024F
	7 standard field stereoscopic retinal photos with interpretation documented and reviewed, without evidence of retinopathy	2025F

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Appendix 1, continued

Humana practitioner assessment CPT® Category II codes (continued)

Measure	Code descriptor	CPT® Category II codes
Comprehensive diabetes care	Eye imaging validated to match diagnosis from 7 standard field stereoscopic retinal photos, results documented and reviewed, without evidence of retinopathy	2033F
	HbA1c level < 7.0%	3044F
	HbA1c level ≥ 7.0% and < 8.0%	3051F
	HbA1c level \geq 8.0% and \leq 9.0%	3052F
	Positive microalbuminuria test result reviewed and documented	3060F
	Negative microalbuminuria test result reviewed and documented	3061F
	Positive macroalbuminuria test result reviewed and documented	3062F
	Documentation of treatment for nephropathy	3066F
	Low risk for retinopathy (No evidence of retinopathy in the prior year)	3072F
	ACE inhibitor or ARB therapy prescribed or currently being taken	4010F
Medication reconciliation post-discharge	Discharge medications reconciled with current medications in outpatient record	1111F
Osteoporosis management in women who had a fracture	Central dual-energy X-ray absorptiometry (DXA) results documented	3095F*
	Pharmacologic therapy (other than minerals/vitamins) for osteoporosis prescribed	4005F*
Pneumonia vaccine	Pneumococcal vaccine administered or previously received	4040F*
Rheumatoid arthritis management	Disease-modifying anti-rheumatic drug therapy prescribed or dispensed	4187F*
	Patient not receiving first-time biologic disease- modifying anti-rheumatic drug therapy for rheumatoid arthritis	4196F*

These codes describe clinical components that may be typically included in evaluation and management services or clinical services and, therefore, do not have a relative value associated with them. Category II codes may also describe results from clinical laboratory or radiology tests and other procedures, identified processes intended to address patient safety practices, or services reflecting compliance with state or federal law.

Category II codes described in this section make use of alphabetical characters as the fifth character in the string (i.e., four digits followed by the letter F). These digits are not intended to reflect the placement of the code in the regular (Category I) part of the CPT code set. (Source: AMA website at https://www.ama-assn.org/sites/ama-assn.org/files/corp/media-browser/public/cpt/cpt-cat2-codes_0.pdf)